

THE HONORABLE JAMES L. ROBERT

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

UNITED STATES OF AMERICA,)

Plaintiff,)

V.

CITY OF SEATTLE,)

Defendant.)

Case No. 2:12-cv-01282-JLR

CITY OF SEATTLE'S RESPONSE TO COURT'S ORDER TO SHOW CAUSE

TABLE OF CONTENTS

I.	INTRODUCTION	1
II.	BACKGROUND	1
A.	In 2017 the City Enacted the Accountability Ordinance to Strengthen its System for Police Accountability.....	1
B.	The City Is Obligated Under State Labor Law to Collectively Bargain Provisions of the Accountability Ordinance.	3
C.	The City Has Now Completed Bargaining with the Two SPD Labor Unions.....	5
D.	Collective Bargaining Resulted in Numerous Changes to the Accountability Ordinance..	5
III.	SCOPE OF THE COURT’S REVIEW.....	9
IV.	THE CITY CONTINUES TO BE IN FULL AND EFFECTIVE COMPLIANCE WITH THE CONSENT DECREE.....	10
A.	Neither the Shepherd Arbitration Decision Nor the Underlying Incident Bring the City Out of Compliance With The Consent Decree.	11
i.	The City is vigorously contesting the arbitration order and will ensure that Shepherd does not return to patrol.	11
ii.	The Shepherd incident occurred before many of the Consent Decree reforms had been implemented.....	12
iii.	The decision of one arbitrator does not undo six years of progress and reform.....	13
B.	By Advancing Key Accountability and Disciplinary Reforms, the CBAs Complement and Build Upon the Progress that the City Has Achieved Under the Consent Decree.....	15

1	i. The Office of the Inspector General for Public Safety can now exercise its authorities	
2	under the Ordinance.	16
3	ii. The CBA implements Ordinance provisions intended to make the Office of Police	
4	Accountability function more efficiently and effectively.	17
5	iii. The CBA gives the Chain of Command greater authority over and flexibility to make	
6	supervisory decisions.	19
7	iv. SPOG’s challenge to SPD’s Body-Worn Video policy is withdrawn, allowing this	
8	important reform to continue unhindered.	21
9	v. The CBA delivers modifications to the disciplinary appeals process for police	
10	misconduct.	23
11	vi. Consistent with its obligation to bargain, the City made calculated compromises to	
12	achieve gains in accountability; if any of those compromises unexpectedly turn out to hinder	
13	accountability, they will be high priority goals in the next round of negotiations.	27
14	vii. Neither the CBAs nor the Ordinance as modified by the CBAs are inconsistent with	
15	the Consent Decree.	29
16		
17	C. The Provisions Highlighted in City Council’s Companion Resolution Do Not Present a	
18	Conflict With the Consent Decree.	29
19	V. CONCLUSION.....	30
20		
21		
22		
23		

TABLE OF AUTHORITIES

Cases

<i>Cherry v. D.C.</i> , 170 F. Supp. 3d 46 (D.D.C. 2016)	14
<i>City of Oklahoma City v. Tuttle</i> , 471 U.S. 808 (1985).....	14
<i>City of Seattle v. Seattle Public Safety Civil Service Commission</i> , 155 Wn. App. 878 (Div. 1 2010)	
.....	27
<i>Gen. Drivers, Helpers & Truck Terminal Employees Local No. 120 v. Sears, Roebuck & Co.</i> , 535	
F.2d 1072 (8th Cir. 1976)	25
<i>Int’l Ass’n of Fire Fighters, Local Union 1052 v. Pub. Employment Relations Comm’n</i> , 778 P.2d	
32 (Wash. 1989).....	3
<i>Keith v. Volpe</i> , 118 F.3d 1386 (9th Cir. 1997).....	4
<i>Linthicum v. Johnson</i> , No. 1:02-CV-480, 2006 WL 1489616 (S.D. Ohio May 26, 2006).....	14
<i>Monell v. N.Y. Dept. of Social Servs.</i> , 436 U.S. 658 (1978)	14
<i>Rose v. Erickson</i> , 721 P.2d 969 (Wash. 1986).....	4, 25
<i>Spokane v. Spokane Police Guild</i> , 553 P.2d 1316 (Wash. 1976)	4
<i>Teamsters Union, Local 378, vs. Mason Cnty</i> , Decision 3706, 1991 WL 733717 (Wash. Pub. Emp.	
Rel. Com. Jan 31, 1991).....	4
<i>United States v. ITT Continental Baking Co.</i> , 420 U.S. 223 (1975).....	14
<i>United Steelworkers of Am. v. Enter. Wheel & Car</i> , 363 U.S. 593 (1960).....	24

Statutes

42 U.S.C. § 14141, <i>recodified at</i> 34 U.S.C. § 12601.....	13
Accountability Ordinance § 1(K).....	2

1	Accountability Ordinance § 3.29.125	9
2	Accountability Ordinance § 3.29.130	6
3	Accountability Ordinance § 3.29.135(F)	6, 7
4	Accountability Ordinance § 3.29.140(C).....	28
5	Accountability Ordinance § 3.29.240.....	9, 16
6	Accountability Ordinance § 3.29.300(E)	6
7	Accountability Ordinance § 3.29.420.....	7, 8, 27, 28
8	Accountability Ordinance § 3.29.510	4
9	Accountability Ordinance § 4.08.040(A).....	27
10	RCW 41.12.090	27
11	RCW 41.56.1440(4).....	4
12	RCW 41.56.905	3
13	Seattle Municipal Code § 4.08.040.....	27
14	Seattle Municipal Code § 4.08.100.....	27
15	Treatises	
16	Elkouri & Elkouri, <i>How Arbitration Works</i> (8 th ed)	27,

1 **I. INTRODUCTION**

2 Defendant City of Seattle (“City”) respectfully submits this response to the Court’s
 3 December 3rd order to show cause. As shown below, the Seattle Police Department (“SPD”),
 4 remains in full and effective compliance with the Consent Decree. The Accountability Ordinance,
 5 as modified by SPD’s latest collective bargaining agreements, is consistent with both the Consent
 6 Decree and with the requirements of constitutional policing. Robust police reform continues in
 7 Seattle, moreover, despite a single arbitration award that the City is vigorously seeking to overturn.
 8 The City should be permitted to continue to build upon its diligent reform work during this
 9 sustainment phase of the Consent Decree.

10 **II. BACKGROUND**

11 **A. In 2017 the City Enacted the Accountability Ordinance to Strengthen its**
 12 **System for Police Accountability.**

13 The Court previously directed the parties to file “an approach for SPD accountability and
 14 review systems” to assist the Court in creating “a better framework for independent review of the
 15 various policies, organizations and systems that will monitor the performance of the Seattle Police
 16 Department.” Court’s Aug. 26, 2015 Order (Dkt. 228). The City Attorney convened a working
 17 group that included representatives of several City entities, including the Mayor’s Office, SPD,
 18 and the Community Police Commission (CPC), as well as counsel for the United States and
 19 members of the Monitoring Team, to answer questions posed by the Court regarding SPD’s
 20 accountability system, and to consider options for Seattle’s ideal police accountability system.¹
 21 Dkt. 289.

22 ¹ A series of six weekly work group sessions comprised of representatives from SPD, the Office
 23 of the Mayor, the Office of Professional Accountability (now the Office of Police Accountability)
 (OPA), the OPA Auditor and the OPA Review Board (organizations no longer in existence), the

1 The Court laid out a process for Court review and approval of aspects of the accountability
 2 legislation that implicate the Consent Decree. Court's Aug. 9, 2016 Order at 2 (Dkt. 305). On October
 3 7, 2016, the City submitted to the Court a draft legislative package containing a proposal for a police
 4 accountability system. Dkt. 320. The Court approved the draft legislation with limited caveats.
 5 Court's Jan. 6, 2017 Order (Dkt. 357).

6 On February 1, 2017, the Mayor transmitted revised legislation consistent with the Court's
 7 January 6, 2017 Order to the Gender Equity, Safe Communities and New Americans (GESCNA)
 8 committee of the City Council. The GESCNA committee, chaired by Councilmember M. Lorena
 9 González, held nine public meetings between January and May 2017 with the participation of
 10 various City stakeholders, and the opportunity for public comment. During that same period of
 11 time, well over 50 additional meetings and discussions were held between City stakeholders,
 12 including the City's police unions, to reach a final product. The Ordinance was unanimously
 13 passed by the Council on May 22, 2017, and signed by the Mayor on June 1, 2017.

14 The legislation envisioned a new police accountability system for Seattle. The stated goal of
 15 the Ordinance is to: "institute a comprehensive and lasting police oversight system that ensures
 16 that police services are delivered to the people of Seattle in a manner that fully complies with the
 17 Constitution and laws of the United States and State of Washington, effectively ensures public and
 18 officer safety, and promotes public confidence in SPD and the services that it delivers." *See*
 19 Accountability Ordinance ("Ordinance") § 1(K) (Dkt. 396-1). The Ordinance created an
 20 independent Office of the Inspector General for Public Safety (OIG) focused on systemic auditing
 21

22 _____
 23 CPC, the United States Attorney for the Western District of Washington, the Civil Rights Division
 of the U.S. Department of Justice, and the federal Monitoring Team, commenced on March 9,
 2016, and concluded on April 6, 2016.

1 and oversight of the Seattle Police Department's policies and practices; increased the duties and
 2 modified the appointment process for the independent CPC to give the people of Seattle a voice in
 3 SPD's policies and practices; and augmented the authority of the Office of Police Accountability
 4 (OPA), which investigates claims of police misconduct.

5 The City submitted the final, adopted legislation to the Court and provided briefing describing
 6 the changes that had been made to the draft legislation. Dkt. 305 at 3; Dkt. 396. Because provisions
 7 in the Ordinance were subject to collective bargaining, the Court, while issuing limited rulings on
 8 aspects of the final Ordinance, declined to undertake a complete review of the Ordinance until the
 9 collective bargaining process was complete. Court's Sept. 7, 2017 Order (Dkt. 413 at 3). The Court
 10 conditionally approved sections 3.29.115(A)-(B) and 3.29.230(A)-(B), which pertain to the selection
 11 and appointment of the OPA Director and the Inspector General, provided that the provisions do not
 12 change during the collective bargaining process.² Court's Sept. 7, 2017 Order at 4 (Dkt. 413).

13 **B. The City Is Obligated Under State Labor Law to Collectively Bargain**
 14 **Provisions of the Accountability Ordinance.**

15 In Washington, cities must engage in collective bargaining when its ordinances, such as the
 16 Accountability Ordinance, affect "mandatory subjects of bargaining," including wages, hours and
 17 working conditions. *See generally Int'l Ass'n of Fire Fighters, Local Union 1052 v. Pub.*
 18 *Employment Relations Comm'n*, 778 P.2d 32, 35 (Wash. 1989) (interpreting bargaining
 19 requirement of Public Employees' Collective Bargaining Act (PECBA)). State law recognizes a
 20 strong policy in favor of collective bargaining rights; any provision in state or local law that conflicts
 21 with the PECBA is superseded. *See* RCW 41.56.905 ("if any provision of this chapter [governing
 22 public employees' collective bargaining] conflicts with any other statute, ordinance, rule or

23 ² Neither of these provisions changed during collective bargaining.

1 regulation of any public employer, the provisions of this chapter shall control”); *see also Rose v.*
 2 *Erickson*, 721 P.2d 969, 971 (Wash. 1986) (“a liberal construction should be given to all of RCW
 3 41.56 and conflicts resolved in favor of the dominance of that chapter”). If a local law or regulation
 4 is inconsistent with a collective bargaining agreement (CBA), then the CBA supersedes. *See*
 5 *Teamsters Union, Local 378, vs. Mason Cnty.*, Decision 3706, 1991 WL 733717 (Wash. Pub. Emp.
 6 Rel. Com. Jan 31, 1991) (county violated RCW 41.56.1440(4) because it implemented a no-
 7 smoking ordinance without first engaging in collective bargaining); *see also Spokane v. Spokane*
 8 *Police Guild*, 553 P.2d 1316 (Wash. 1976) (Spokane Police Guild’s CBA superseded local
 9 regulation issued by the Spokane Civil Service Commission).

10 For this reason, the Accountability Ordinance acknowledges that certain of its provisions
 11 must be collectively bargained with the unions whose members are affected. *See* Ordinance
 12 § 3.29.510(A). The City Council specified that provisions subject to bargaining would not take
 13 effect until the City’s “collective bargaining obligations are satisfied.” Ordinance § 3.29.510(C).
 14 Indeed, under state labor law, those provisions *could not lawfully* be implemented without being
 15 collectively bargained. *See Mason Cnty*, 1991 WL 733717, at *9.

16 The City is not suggesting that Washington’s collective bargaining law takes precedence
 17 over federal law. If this Court holds that there are provisions of the CBAs which conflict with
 18 federal law, then federal law will prevail. However, unless there is a direct conflict between the
 19 PECBA and a federal statute or a provision of the U.S. Constitution, the City must comply with its
 20 state-law obligations. *See Keith v. Volpe*, 118 F.3d 1386, 1392-94 (9th Cir. 1997) (reversing where
 21 “district court . . . concluded, improperly, that the [federal consent] decree prevailed over state
 22 law”).

C. The City Has Now Completed Bargaining with the Two SPD Labor Unions.

The City completed bargaining with the Seattle Police Management Association (SPMA) in November 2017. *See* Dkt. 425 (notifying Court of completed negotiations). The final CBA between the City and SPMA is attached as Exhibit A. The SPMA CBA covers the period from 2014 through 2019.

After extensive negotiations, including consultations between Mayor Durkan’s Office, OPA, OIG, and the CPC, the City reached a tentative agreement with the Seattle Police Officers Guild (SPOG) covering 2015 to 2020. The City’s Labor Relations Policy Committee, responsible for establishing the City’s bargaining parameters and any changes to those parameters that become necessary during bargaining, approved the tentative agreement prior to its introduction to the full City Council. Unless otherwise noted, throughout this brief “the CBA” refers to the SPOG CBA.

City Council approved the agreement in Ordinance Number 125693 on November 13, 2018, with companion Resolution Number 31855, which seeks this Court’s review of the agreement, including a request that the Seattle City Attorney ask the Court to review specific provisions. Mayor Durkan signed the agreement, making it final, on November 14, 2018. The SPOG CBA is attached as Exhibit B and, for additional clarity, a redline comparing the previous CBA to the new CBA is attached as Exhibit C. The Council’s Resolution is attached as Exhibit D.

D. Collective Bargaining Resulted in Numerous Changes to the Accountability Ordinance.

As previously contemplated, the final CBAs reached with SPMA and SPOG contain provisions that are inconsistent with—and therefore supersede—provisions in the Ordinance. This Section describes the primary areas of conflict between the CBAs and the Ordinance. The City’s position as to the effect and import of these inconsistencies is provided in Section IV.B. In addition,

to aid the Court's review of those changes, the City attaches as Exhibit E³ an annotated version of the final Accountability Ordinance in a form designed to highlight each provision of the Ordinance that was affected by bargaining. Exhibit E identifies Ordinance provisions (1) that were identified as concerns by the CPC but which, in fact, are neither overridden nor modified by the CBAs (green highlights) and therefore may stand as enacted; (2) provisions that have been modified or supplemented by the CBAs, although the City does not believe result in any meaningful departure from the accountability scheme set forth in the Ordinance (yellow highlights); and (3) provisions that, through bargaining, have been changed substantively (blue highlights).⁴

The most significant changes include⁵—

³ Exhibit E responds to the Court's direction numbered (3) in its December 11, 2018 Order.

⁴ The vast majority of the text is not highlighted, either because it represents provisions of the Ordinance that do not directly affect union members and so were not subject to collective bargaining; or because SPOG and SPMA chose to accept them without bargaining. These provisions were not changed in any way by the CBAs. This version of the Ordinance is the "bill draft," in which text that is underscored or struck through denotes amendment to previous versions of the Seattle Municipal Code. Such textual designations do *not* indicate changes made by the CBAs.

⁵ Other substantive differences include—

- Retaining an obligation to provide Officers with early notice of complaints. *Cf.* Ordinance § 3.29.130(A).
- Modifying the approach to beginning and tolling the 180-day deadline for completion of OPA investigations. *Cf.* Ordinance § 3.29.130(B).
- Changing the consequences when an Officer fails to provide or identify all witnesses or evidence during an OPA investigation. *See* Ordinance § 3.29.130(I).
- Adding a more specific definition of "material dishonesty." *Cf.* Ordinance § 3.29.135(F).
- Removing examples of topics of acceptable CPC advocacy. *See* Ordinance § 3.29.300(E).
- Modifying the circumstances in which SPD may place an employee on unpaid administrative leave pending the outcome of an investigation. *See* Ordinance § 3.29.420(A)(4).
- Eliminating a requirement that disciplinary appeals be open to the public, at least insofar as the requirement applies to grievance hearings. *See* Ordinance § 3.29.420(A)(7)(a).
- Postponing changes to the composition of the PSCSC until bargaining with other affected unions. *See* Ordinance § 3.29.420(A)(7)(b).

1 Maintaining arbitration as a method for resolving disciplinary appeals. The Ordinance
 2 specifically contemplates elimination of the Disciplinary Review Board (DRB) for resolving
 3 disciplinary appeals, requiring all appeals to proceed through the City's Public Safety Civil Service
 4 Commission (PSCSC). *See* Ordinance § 3.29.420(A)(6). Both SPOG and SPMA sought to retain a
 5 bargained-for arbitration system that would have jurisdiction over disciplinary appeals. While the City
 6 ultimately agreed to retain arbitration in both contracts, the former DRB panel was abolished and
 7 replaced by a grievance arbitration system similar to that applicable to other union employees at the
 8 City. *See* SPOG CBA Art. 14; SPMA CBA Art. 16.

9 Modifying the description of the quantum of proof needed to sustain dishonesty cases and
 10 termination cases involving stigmatizing conduct in arbitration. In 2008, the SPOG CBA was amended
 11 to provide that, in return for creating a presumption of termination in cases of dishonesty, all such
 12 cases require clear and convincing evidence to meet the burden of proof. *See* Art. 3.1 of 2008-2010
 13 SPOG CBA ("In the case of an officer receiving a sustained complaint involving dishonesty in the
 14 course of the officer's official duties or relating to the administration of justice, a presumption of
 15 termination shall apply. For purposes of this presumption of termination the Department must prove
 16 dishonesty by clear and convincing evidence."); Art. 3.1 of 2010-2014 SPOG CBA (same). The
 17 Ordinance retains the presumption of termination for "material dishonesty," but removes the "clear
 18 and convincing" evidentiary standard. *See* Ordinance § 3.29.135(F). The Ordinance provides that
 19 cases involving termination for dishonesty are to be resolved using the "same evidentiary standard
 20 used for any other allegation of misconduct." *See id.*

-
- 21
 22
 23 • Allowing discipline reversals in all cases, including minor discipline, through grievance
 processing. *See* Ordinance § 3.29.420(A)(7)(c).

1 In accordance with the goal expressed in the Ordinance, the City and SPOG agreed to treat
 2 dishonesty in the same manner as other cases of misconduct. *See* SPOG CBA Art. 3.1. SPOG did not
 3 agree, however, to abandon a broader, long-standing arbitration principle that generally allows an
 4 arbitrator to apply a higher evidentiary standard in well-accepted circumstances, such as discipline of
 5 an employee with long tenure, a good record, or facing career-damaging allegations. The new SPOG
 6 CBA thus requires arbitrators to apply a burden of proof “consistent with established principles of
 7 labor law.” *See id.* Article 3.1 further provides an example of such established principles: the arbitrator
 8 may use an “elevated standard of review ... for termination cases where the alleged offense is
 9 stigmatizing to a law enforcement officer.”

10 Reducing the limitations period for the City to take disciplinary action from five years to four.

11 The Ordinance states that “No disciplinary action will result from a complaint of misconduct where
 12 the misconduct comes to the attention of OPA more than five years after the date of the misconduct.”
 13 Ordinance § 3.29.420(A)(5). This provision essentially increases the limitations period for disciplinary
 14 actions from three years, under the old SPOG CBA, to five years. In bargaining, the City and SPOG
 15 reached a compromise, establishing a four-year limitations period in the new SPOG CBA. *See* SPOG
 16 Art. 3.6.G. The parties also agreed to apply the limitations period to cases in which the alleged
 17 misconduct involves dishonesty or Type III Force.⁶ *See id.*

18
 19
 20 ⁶ The Ordinance designated these cases as exceptions to the limitations period. However, SPD’s
 21 Force Investigation Team and Force Review Board extensively investigate incident involving a
 22 Type III use of force, and so it is exceedingly unlikely that the City would ever need the statute-
 23 of-limitation exception. The dishonesty exception is also unnecessary, because the exception that
 covers cases when an employee “conceals acts of misconduct” encompasses the situations
 involving dishonesty in which the City has needed additional time in the past. *See* SPOG CBA
 Art. 3.6.G & App. E.12.

1 Restriction on subpoena power for OIG and OPA. The Ordinance grants subpoena power to
 2 both OIG and OPA. *See* Ordinance §§ 3.29.125; 3.29.240(K). In bargaining, the City and SPOG
 3 allowed OPA and OIG to issue subpoenas, but agreed to refrain from implementing the use of
 4 subpoenas upon officers and their families. *See* SPOG Appendix E.12. They agreed not to implement
 5 those elements of the subpoena power at this time, but they will reopen negotiations once further
 6 information is obtained, including information about OIG's and OPA's need for such subpoenas.

7 **III. SCOPE OF THE COURT'S REVIEW**

8 For purposes of ensuring that no provision of the Ordinance, as modified by the CBAs,
 9 conflicts with the Consent Decree, the provisions of the Ordinance that have been so modified must
 10 be approved by the Court prior to becoming effective. Court's Jan. 6, 2017 Order (Dkt. 357).

11 With respect to the Ordinance, the Court has emphasized that "any number of legislative options
 12 might fit within the parameters of the Consent Decree." Court's Jan. 6, 2017 Order at 4 (Dkt 357)
 13 "The court notes that the Consent Decree does not mandate that the City make specific changes to
 14 its discipline and accountability structures." Dec. 3, 2018 Order at 4. "[T]he court's review
 15 considers only whether the . . . legislation conforms to both the terms and the purposes of the Consent
 16 Decree." Jan. 6, 2017 Order at 9 (Dkt 357).

17 Finally, the Court has supplied a "test for reviewing legislation related to SPD's accountability
 18 systems: Does the legislation conflict with the terms or the purposes of the Consent Decree, which
 19 include delivering to the people of Seattle (1) constitutional policing, (2) effective policing, and
 20 (3) policing in which the community can have confidence?" Jan. 6, 2017 Order at 4-5 (Dkt. 357).

21 The Court has also indicated that it will examine the CBAs reached with SPMA and SPOG
 22 to determine whether, apart from changes to the Ordinance, any of the other provisions conflict
 23 with the terms or purpose of the Consent Decree. *See* Nov. 5, 2018 Status Conf. Trans at 51:13-20;

1 Oct. 23, 2018 Order at 2 & n.2 (Dkt. 485). The Court has indicated that its review will focus in part
2 on the question of whether the disciplinary processes “ensure[] that appropriate oversight and
3 accountability mechanisms are in place . . . to secure[] constitutional and effective policing in this City
4 beyond the life of the Consent Decree.” Dec. 3, 2018 Order at 5 (Dkt. 504). Finally, the Court has
5 asked the City to provide information regarding the discipline, DRB process, and outcome in the
6 appeal of Officer Adley Shepherd’s termination.

7 **IV. THE CITY CONTINUES TO BE IN FULL AND EFFECTIVE**
8 **COMPLIANCE WITH THE CONSENT DECREE.**

9 The parties, the Monitor, and the Court agreed to measure the City’s continued compliance
10 with the Consent Decree based on the audits and assessments set forth in the Sustainment Plan.
11 *See* Sustainment Plan (Dkt. 444) and Court Order Approving Sustainment Plan (Dkt. 448). Thus
12 far SPD has demonstrated sustained compliance in all areas, including successful and timely
13 completion of the first three audits which evaluated (1) supervision; (2) reporting, investigation,
14 and review of uses of force; and (3) crisis intervention and the use of force against people in crisis.
15 *See* Dkts. 497-1, 497-2, & 511. The Monitoring Team and the Department of Justice reviewed
16 randomly selected samples of data underlying each report and independently verified SPD’s
17 findings of compliance.

18 The City’s efforts to achieve full and effective compliance with the Consent Decree have
19 not been frustrated by collective bargaining or by the recent successful disciplinary appeal by
20 Shepherd. Former Chief O’Toole terminated Shepherd’s employment on November 19, 2016, for
21 violating SPD’s policies regarding use of force. Under the CBA, SPOG had the right to appeal the
22 disciplinary decision to the DRB, composed of one management representative, one officer
23

1 appointed by SPOG, and a neutral arbitrator selected by the parties. The neutral arbitrator issued a
2 decision to reinstate Shepherd on November 20, 2018.

3 The City has filed a petition for a writ of review or certiorari in King County Superior
4 Court, contending that Shepherd's reinstatement is contrary to public policy. Regardless of the
5 outcome of the writ proceeding, the City believes that this single, erroneous arbitration decision
6 based on an incident that occurred in 2014 cannot undo the successful reforms that the City and
7 the Department have carried out over the past six years under the Consent Decree. That progress
8 and the further reforms of the Accountability Ordinance will ensure that the Shepherd incident
9 remains an outlier.

10 Moreover, as set forth below, the City's successes in bargaining—including
11 implementation of body-worn video and substantial progress in reaching the goals of the
12 Accountability Ordinance—has resulted in concrete and meaningful advances in police
13 accountability, transparency, and discipline.

14 **A. Neither the Shepherd Arbitration Decision Nor the Underlying Incident Bring**
15 **the City Out of Compliance With The Consent Decree.**

16 *i. The City is vigorously contesting the arbitration order and will ensure that*
17 *Shepherd does not return to patrol.*

18 First and most importantly, the City and SPD are committed to preserving the important
19 progress in community relations and public confidence that has been made under the Consent
20 Decree. ***The City will not reinstate Shepherd until it is ordered to do so by a Court. If ordered to***
21 ***reinstate Shepherd, the City will comply, but it will place him in a "suitable position" as***
22 ***described by the arbitrator, which will not include patrol or training duties.*** Exhibit F⁷ at 30.

23 ⁷ Copies of the arbitrator's written decision and the briefs in *Shepherd* are included as Exhibit F to
this filing. These materials provide important context about the position taken by the City in the

1 SPD terminated Shepherd because of his conduct, and the City has already filed a writ of
 2 review or certiorari in King County Superior Court contesting the arbitrator's order of
 3 reinstatement. Exhibit G.

4 *ii. The Shepherd incident occurred before many of the Consent Decree reforms*
 5 *had been implemented.*

6 The Shepherd incident occurred in June 2014. The City does not believe this particular
 7 incident was the result of inadequate policies or training. No matter how robust a police
 8 department's policies and training, there will be rare instances when an officer uses force in a way
 9 that violates policy or the law. That is why the Consent Decree sets forth requirements not only
 10 for policies and training, but also systems for critical review of officer actions that will ensure (1)
 11 systematically good performance, and (2) that when out-of-policy incidents arise, these incidents
 12 are timely reported, investigated, reviewed, and – where appropriate – referred for disciplinary
 13 review. In this incident, Shepherd's supervisor referred the incident to OPA for investigation
 14 within hours, and when the matter came before Chief O'Toole she took decisive action to hold
 15 Shepherd accountable.

16 SPD's current policies and training are vastly improved since the Shepherd incident and,
 17 in fact, receive national recognition as exemplars. For example, SPD's Crisis Response Unit
 18 provides crisis intervention and de-escalation training to other law enforcement agencies through
 19 the Department of Justice, Bureau of Justice Assistance's VALOR program. Since 2016, SPD
 20 officers have trained thousands of officers in at least 23 states. Under the Consent Decree, in
 21 consultation with the Monitor, the Department of Justice, and community stakeholders, the

22 _____
 23 Shepherd matter and are responsive to the Court's directions numbered (1) and (2) in its December 11, 2018 Order.

1 Department developed new Use-of-Force policies that were approved by the Court in late 2013.
 2 Dkt. 115. Shepherd had not completed training on these new policies at the time of the June 2014
 3 incident. Since the 2013 policies, the Department has continued to revise and improve upon its
 4 Use-of-Force policies. In the most recent set of revisions, approved by this Court in August, SPD
 5 substantially reworked the language regarding de-escalation, making it explicit that de-escalation
 6 tactics must be used even when the subject is not receptive to engagement:

7 De-escalation may take the form of scene management, team tactics, and/or
 8 individual engagement. Even when individual engagement is not feasible, de-
 9 escalation techniques including scene management and team tactics such as time,
 distance, and shielding, should still be used unless doing so would create undue risk
 of harm to any person due to the exigency/threat of a situation.

10 Dkt. 471-1 at 13 (proposed revisions); Dkt.477 (order approving revisions).

11 The Department has also overhauled its use-of-force training since 2014. In notifying the
 12 Court that it had approved SPD's 2015 training program, the Monitor reported that "de-escalation
 13 is the foundation of SPD's new tactical skill set and woven throughout the training." Dkt. 254 at
 14 2.

15 *iii. The decision of one arbitrator does not undo six years of progress and*
 16 *reform.*

17 Finally, one wrongly decided arbitration decision does not constitute grounds for the City
 18 to be held non-compliant with the Consent Decree. This case was initiated by the Department of
 19 Justice under 42 U.S.C. § 14141, *recodified at* 34 U.S.C. § 12601, which authorizes civil actions
 20 when law enforcement "engage[s] in a pattern or practice of conduct . . . that deprives persons of
 21 rights, privileges, or immunities secured or protected by the Constitution or laws of the United
 22 States." In keeping with these parameters, the Consent Decree provides that "a temporary or
 23 isolated failure to comply, during a period of otherwise sustained compliance, will not constitute

1 a failure to maintain full and effective compliance.” ¶ 184; *see also United States v. ITT*
2 *Continental Baking Co.*, 420 U.S. 223, 238 (1975); (“[C]onsent decrees are essentially contractual
3 agreements that are given the status of a judicial decree.”).

4 Similarly, in the context of section 1983, a single instance of failure to discipline does not
5 establish an unconstitutional custom, policy or practice under *Monell v. N.Y. Dept. of Social Servs.*,
6 436 U.S. 658 (1978). *See City of Oklahoma City v. Tuttle*, 471 U.S. 808, 823-24 (1985) (“Proof of
7 a single incident of unconstitutional activity is not sufficient to impose liability under *Monell*.”).

8 Here, moreover, there was no failure to discipline; the City terminated Shepherd. At least
9 two federal courts have held that a City is not responsible under section 1983 for an arbitrator’s
10 decision to reinstate a police officer. *See Cherry v. D.C.*, 170 F. Supp. 3d 46, 50 (D.D.C. 2016);
11 *Linthicum v. Johnson*, No. 1:02-CV-480, 2006 WL 1489616, at *31-32 (S.D. Ohio May 26, 2006).

12 In this instance, aside from an erroneous penalty decision by a third-party arbitrator, the
13 City’s system of accountability worked as it should. The force was immediately reported and
14 investigated. The wrongful conduct was quickly identified by the Chain of Command and referred
15 to both OPA and then to the Washington State Patrol and Federal Bureau of Investigation, due to
16 the potentially criminal nature of the conduct. Although no criminal charges were filed, the
17 Department adopted the most severe disciplinary option it could—termination. The City strongly
18 disagrees with the arbitrator’s decision, but it is important to recognize that the force reporting,
19 investigation, and review process established under the Consent Decree worked here.

20 Regardless of the outcome of the City’s appeal of the arbitrator’s decision, the Department
21 sent a clear message to officers with its decision to terminate Shepherd: unnecessary or excessive
22 uses of force will not be tolerated.

1 The Department's performance under the Consent Decree cannot be assessed based on a
2 single incident. That is why the parties, the Monitor, and the Court approved a schedule of audits
3 and outcome reports during the sustainment period. The City's continued compliance with the
4 Consent Decree should be measured by this standard.

5 **B. By Advancing Key Accountability and Disciplinary Reforms, the CBAs**
6 **Complement and Build Upon the Progress that the City Has Achieved Under**
7 **the Consent Decree.**

8 The disciplinary system and accountability measures in the CBAs will effectuate ongoing
9 reforms in accountability and constitutional policing. The Consent Decree addresses police
10 accountability and discipline in general terms but does not mandate any particular structure or
11 processes. Consent Decree ¶ 170. The Accountability Ordinance envisions a particular system of
12 accountability, but the City's elected leaders passed the Ordinance knowing that its terms would have
13 to be collectively bargained with SPOG and, to a lesser extent SPMA, because it affected the working
14 conditions of police officers. The results of the City's labor negotiations should therefore be compared
15 against the status quo—not against the terms of the Accountability Ordinance (which could not legally
16 take effect until after bargaining).

17 Consistent with its obligation to bargain, the City reached compromises during
18 negotiations. None of the Ordinance's provisions were cast aside or ignored during bargaining.
19 Rather, the City believes that the outcomes achieved are the product of robust, interest-based
20 negotiations by the parties. The City considers SPOG and SPMA to have been responsible,
21 thoughtful participants in the bargaining process. The final agreements represent a balancing of
22 interests in the disciplinary and accountability system. It is important to note, in addition, that any
23 accountability goals set forth in the Ordinance may be achievable in future CBA negotiations. The

1 City is confident that both completed and future negotiations will unequivocally advance the goals
2 of police accountability and reform.

3 This Section addresses the Court's request for a description of the changes the SPOG CBA
4 makes to the SPD disciplinary and appeals processes that were in place at the time of the Shepherd
5 incident.⁸ In addition, the City provides as Exhibit I a chart that visually depicts the primary
6 changes to these processes. *See* Court's Dec. 11, 2018 Order at 2, no. (4).

7 *i. The Office of the Inspector General for Public Safety can now exercise its*
8 *authorities under the Ordinance.*

9 One significant improvement in the Ordinance, as negotiated, is the creation of a new OIG
10 to be headed by the Inspector General. Ordinance § 3.29.240. The OIG is an independent body
11 entrusted with conducting audits and analyses of SPD policies, procedures and practices. The
12 Ordinance afforded OIG responsibilities and authorities, which had to be collectively bargained to
13 the extent they affect the handling of alleged misconduct involving police officers or other working
14 conditions. The work of collective bargaining is now complete and the new agreement explicitly
15 recognizes that OIG will have "full and unfettered access" to the operations of the Department.
16 *See* CBA App. E.12 (regarding Ordinance § 3.29.240(C). With minor exceptions described below,
17 OIG can now assume its role as envisioned by the City Council and the many community groups
18 that contributed to the Ordinance.

19 Section 3.29.240(G) directs the OIG to review and audit SPD's handling of incidents
20 involving death, serious injury, serious use of force, mass demonstrations, and serious property
21 damage. Section 3.29.270(A) establishes an even broader audit role for OIG, authorizing it to audit

22 ⁸ The contract in effect at the time of the Shepherd incident was two versions earlier than the SPOG
23 CBA approved by the Council on November 13, 2018. For present purposes, there are no
significant differences between the two earlier versions.

1 “any and all police operations” for the purpose of determining whether SPD is meeting its mission
 2 to address crime and improve quality of life through constitutional, professional, and effective
 3 policing. Collective bargaining with SPOG and SPMA had no effect on these critical review and
 4 audit roles.

5 Sections 2.29.240(G)(1) & G(2) give OIG access to “any incident scene” and allow it to
 6 participate in SPD administrative investigations and reviews of SPD’s Force Investigation Team.
 7 As a result of the CBA, OIG can now make use of this right of access. Included in this right of
 8 access is the ability to attend all OPA interviews as a neutral observer, CBA Art. 3.6.F.5, and have
 9 full access to SPD’s Force Review Board, *id.* App. G

10 Section 2.29.240(K) authorizes OIG to issue subpoenas necessary to carry out its statutory
 11 duties, and the CBA implements OIG’s subpoena power as to third party witnesses, but does not
 12 provide for subpoenas to police officers and their families. *See* CBA App. E.12. The effects of the
 13 limit on OIG’s subpoena power are probably very limited. First, it is exceedingly rare for an officer
 14 to refuse to participate in an OPA interview (the City is unaware of this ever happening), because
 15 of the disciplinary consequences, and OIG will have the right to attend such OPA interviews.
 16 Second, OIG’s role is to examine policies, procedures and practices—a system-wide focus that is
 17 not likely to require issuing subpoenas to individual officers or their families. However, if the limit
 18 on its subpoena power impedes OIG’s ability to do its job over the next year, then the City can
 19 raise the issue through the “reopener” provision in the CBA. *See id.*

20 *ii. The CBA implements Ordinance provisions intended to make the Office of*
 21 *Police Accountability function more efficiently and effectively.*

22 First, the SPOG and SPMA CBAs made important strides with respect to civilianizing
 23 OPA. Prior to these negotiations, all OPA investigators and supervisors were sworn officers. The

1 SPMA CBA enabled the City to civilianize a captain and two lieutenants who were supervisors in
2 OPA. The SPOG CBA provided that two OPA investigators—positions currently held by
3 sergeants—can be civilianized. *See* SPOG CBA App. D.

4 Second, Article 3.6.A addresses OPA intake requirements for classifying and providing the
5 named officer and SPOG with notice for new complaints. The section simplifies the burden on
6 OPA by eliminating the requirement that OPA specify each and every policy or rule that could be
7 implicated.

8 Third, the SPOG CBA also made important improvements in calculating the 180-day time
9 period within which OPA must conduct misconduct investigations. SPOG CBA Art. 3.5.F. The
10 180-day period will be extended if new material evidence is produced by SPOG at a due process
11 hearing so that OPA will have up to sixty additional days to investigate. CBA Art. 3.5.F. In
12 addition, the language—which was in both the old CBA and the Ordinance—stating that the 180-
13 day clock would start when any sworn supervisor (including SPOG sergeants and SPMA
14 lieutenants and captains) was “in receipt of” a complaint has been eliminated. That formulation
15 created issues for the City, especially in situations when the sworn supervisor had learned of the
16 misconduct but took no action. The new contract relaxes the trigger for the 180-day period for
17 matters handled by the Chain of Command by removing the subjectivity of the former provision
18 often referred to as the “knew or should have known standard.”

19 The new SPOG CBA addresses that problem by providing that the clock will start not when
20 a supervisor receives a complaint, but when the supervisor affirmatively takes action to ensure the
21 matter will be reviewed and investigated. For serious misconduct, *the supervisor must forward a*
22 *formal complaint to OPA within 48 hours. See* Art 3.6.B.ii. For matters involving less serious
23 misconduct that are submitted to the Chain of Command, the 180-day time period does not start to

1 run until fourteen days after the initial supervisor actually submits the incident up the Chain of
2 Command. In addition, the CBA expands the list of reasons for which the City can receive an
3 extension of the 180-day time period and requires that SPOG must put in writing its reason for
4 denying such a request. Art. 3.6.C.

5 *iii. The CBA gives the Chain of Command greater authority over and flexibility*
6 *to make supervisory decisions.*

7 The CBA negotiations resulted in a number of operational and discipline-related
8 improvements, including, first, the Chief's expanded authority to impose pre-investigation
9 suspensions. The new CBA allows the Chief to suspend an officer *without pay* pending
10 investigation for gross misdemeanors alleging moral turpitude, or a sex or bias crime, where the
11 misconduct could lead to termination. SPOG CBA Art. 3.3 (emphasis added). Previously, the
12 Chief was only allowed to impose unpaid suspensions for felonies. *See* 2010-2104 SPOG CBA
13 Art. 3.3.

14 Second, a robust system of accountability requires active involvement by supervisors, who
15 must have a clear understanding of their responsibility to appropriately deal with less serious
16 matters that are not sent to OPA. The new CBA enables the Department to handle relatively small
17 performance issues through an officer's supervisor providing feedback, instruction, or training—
18 rather than requiring that all issues be submitted through the formal OPA process—by establishing
19 a new procedure for investigating and resolving such issues. SPOG CBA Art. 3.8. By creating a
20 new alternative to making a formal OPA complaint, this provision is intended to empower
21 supervisors to address small problems quickly, before they become larger problems. The prior
22 SPOG contract did not provide procedures for such reviews. The new Article 3.8 allows minor
23 policy violations to be handled as a performance matter rather than discipline.

1 Third, the new contract recognizes that there may be times where the Chain of Command
2 investigates a matter, and for whatever reason does not either recognize or have evidence
3 suggesting that a serious policy violation may have occurred. The new SPOG agreement provides
4 that an investigation will in fact still occur if the Chain of Command concludes that no misconduct
5 occurred, and (i) material new evidence (such as a video) is later produced that suggests serious
6 misconduct did occur (which would then trigger a new 180-day period), *see* Art. 3.6.B; or (ii) a
7 community member subsequently complains about a matter involving a Type II use of force, bias,
8 or pursuit (which would allow the 180-day time clock to be re-calculated such that an investigation
9 still can be conducted), *see* Art. 3.6.D. The City believes that these mechanisms and backstops
10 will significantly bolster the public's confidence in having the Chain of Command review and
11 investigate less serious matters, and concomitantly ensure OPA is better able to efficiently and
12 effectively handle all of its important functions.

13 Fourth, new Article 7.4.4 establishes a process allowing the Department to transfer
14 employees for performance reasons after providing notice and an opportunity to improve. Under
15 the old CBA, employees with performance issues generally were entitled to select their new
16 assignment. *See* Exhibit D, Art. 7.4 (redline comparing previous CBA to new CBA).

17 Article 3.11 creates a new system, envisioned as a "pilot program," allowing for the rapid
18 adjudication of matters in which the officer agrees to waive a formal investigation and accept
19 discipline. The Chief's decision in rapid adjudication cases will be final, binding, and not subject
20 to appeal. This provision is intended to make a clear option available to officers who wish to accept
21 responsibility, thereby avoiding a time-consuming investigation and preserving the officer-
22 employer relationship.

iv. *SPOG's challenge to SPD's Body-Worn Video policy is withdrawn, allowing this important reform to continue unhindered.*

SPOG had previously filed an unfair labor practice complaint seeking to overturn the 2017 Mayoral Executive Order mandating the use of body-worn video (BWV). Under the terms of the SPOG CBA, the Guild is required to withdraw this unfair labor practice. *See* CBA App. A. Ongoing implementation of BWV throughout the Department could have been significantly complicated by the pending claim, and if litigation had proceeded on the matter, then the use of BWV may even have been suspended. There is no longer any risk of that progress being undermined.

The SPOG CBA provides a 2% premium to officers who are required to wear BWV as part of their duties. Paying a premium to officers who are required to wear BWV does not conflict with the Consent Decree. The SPD's body-worn video policy is mandatory and does not permit officers to choose whether to wear or when to activate video. The policy was developed based on thorough research, a pilot program, community surveys, community outreach and discussions with stakeholder groups, and input from the Monitoring Team and the Department of Justice. *See generally* Dkts. 361 & 379. The policy,⁹ set forth in Title 16 of the Department's Manual, took effect on July 19, 2017, after being reviewed and approved by the Court.¹⁰ *See* Dkt. 390. The Department implemented the policy on a rolling basis throughout 2017 and early 2018, so that all officers could receive appropriate training before they deployed with BWV.

⁹ The policy is available at <https://www.seattle.gov/police-manual/title-16---patrol-operations/16090---in-car-and-body-worn-video> .

¹⁰ The Court held in its order approving the policy that, while BWV is not addressed by the Consent Decree, nonetheless "both the Monitor and the court have the authority to examine whether Section 16.090-POL-2, Paragraph 3—which relates to officer reporting—conflicts with the reporting requirements set forth in the Consent Decree or any other Consent Decree provision." Dkt. 390 at 4-5.

1 In its order approving the policy, the Court observed that, while BWV is not addressed by
 2 the Consent Decree, the reporting, review and investigation of uses of force are covered
 3 extensively. Dkt. 390 at 4. Accordingly, the Court held that it and the Monitor had the authority to
 4 evaluate aspects of the BWV policy related to officer reporting in order to determine whether they
 5 conflict with the Consent Decree. Dkt. 390 at 4-5.

6 SPD's body-worn video policy is mandatory and allows exemptions only for the purposes
 7 of protecting the rights of community members. Section 16.090 Policy 1, Paragraph 5(b), of the
 8 Seattle Police Manual requires, when safe and practical, that all sworn officers in uniform must
 9 record the following police activities from start to finish:

- 10 • Dispatched calls, starting before the employee arrives on the call to ensure
adequate time to turn on cameras
- 11 • Traffic and Terry stops
- 12 • On-view infractions and criminal activity
- 13 • Arrests and seizures
- 14 • Searches and inventories of vehicles, persons, or premises
- 15 • Transports (excluding ride-alongs and passengers for meetings)
- 16 • Vehicle eluding/pursuits
- 17 • Questioning victims, suspects, or witnesses (This does not include
conversations with persons merely wishing to pass on information about
18 general criminal activity not tied to a specific event.)

18 To protect the privacy, dignity, and First Amendment rights of community members, the
 19 policy recognizes limited exceptions to the requirement that officers record their police activities
 20 on BWV. SPM § 16.090 POL-1 Section 5(c-g).

21 The CBA does not change any aspect of this policy, including the provision that relates to
 22 officer reporting of force, which was previously examined by this Court. The relevant provision
 23 of the CBA provides that:

Effective the first pay period after January 1, 2018, an additional two percent (2%) of the base monthly, top-step salary for the classification held by the affected employee shall be paid to employees required to wear BWV while on duty for the City. . . . *The determination of which officers will wear (or not wear) BWV will be made by the Department.*

SPOG CBA Art. 6.5.2 (emphasis added).

The two-percent premium does not constitute “paying for constitutional policing.” First, the language makes it clear that SPD retains the authority to require officers to wear BWV; *officers cannot opt out of the requirement.* If an officer wears a uniform, then he or she must wear a camera.

Second, providing additional compensation for officers wearing body cameras is appropriate given that their use adds an additional layer of complexity and responsibility for such officers. BWV-equipped officers must follow policies regarding camera activation. They must also exercise additional discretion when balancing privacy, dignity, and free-speech rights during police activities. Finally, the premium is particularly appropriate for the implementation phase of the new BWV program. Once BWV has been fully integrated into police operations, justification for a premium may be reduced, and the City can seek to eliminate it in negotiations for the successor CBA, to be effective in 2020.

v. *The CBA delivers modifications to the disciplinary appeals process for police misconduct.*

a) The CBA eliminates the Disciplinary Review Board and adopts in its place a new system for selecting an arbitrator.

Arbitration under the new CBA will be different from the system that produced the Shepherd decision. A DRB panel issued the decision reinstating Shepherd and the SPOG CBA eliminates the DRB. In place of the DRB, the CBA adopts an updated method for selecting an arbitrator, which the City anticipates will lead to faster processing of appeals.

1 The CBA retains arbitration as an alternative to the PSCSC for disciplinary appeals.
2 Binding arbitration has significant advantages and it is a fundamental feature of both federal and
3 state labor law. Arbitration is a relatively fast, inexpensive method of resolving labor disputes.

4 Binding arbitration is an integral part of federal labor law. The Supreme Court recognized
5 the critical importance of arbitration in one of its Steelworkers Trilogy cases:

6 The federal policy of settling labor disputes by arbitration would
7 be undermined if courts had the final say on the merits of the
8 awards. As we stated in *United Steelworkers of America v. Warrior*
9 *& Gulf Navigation Co.*, 363 U.S. 574 (1960), the arbitrators under
10 these collective agreements are indispensable agencies in a
continuous collective bargaining process. They sit to settle disputes
at the plant level—disputes that require for their solution
knowledge of the custom and practices of a particular factory or of
a particular industry as reflected in particular agreements.

11 *United Steelworkers of Am. v. Enter. Wheel & Car*, 363 U.S. 593, 596 (1960).

12 Because public safety employees are prohibited from striking, arbitration is considered to
13 especially critical in this setting.

14 While private-sector unions utilize alternative dispute resolution,
15 they have the right to strike—a compelling inducement for private
16 employers to resolve disputes before even considering whether
17 binding alternative dispute resolution is appropriate and worthwhile.
18 The right to strike generally does not belong to public-sector
19 employees, because such employees provide services, often
20 essential, to the public. As a result, public-sector employers and
unions may use alternative dispute resolution more than their
counterparts in the private sector. Indeed, states have passed laws
creating procedures for alternative dispute resolution as a remedy to
reduce the incentive for employees, such as school teachers, to
strike, or as a remedy for the inability of employees, such as police
officers, to strike in the first place.

21 Elkouri & Elkouri, *How Arbitration Works*, Ch. 22.4 (8th ed) (treatise excerpt is provided as Exhibit
22 H to this filing).

Arbitration of discipline and other disputes is a recognized method for resolving disagreements in union contracts at the City. *See Rose v. Erickson*, 721 P.2d 969, 971 (Wash. 1986) (“as a matter of policy, arbitration is strongly favored.”). ***Under all twenty-eight of the collective bargaining contracts to which the City is a party, employees have the ability to challenge discipline through arbitration.*** This includes firefighters, utility workers, carpenters, court marshals, IT professionals, parking enforcement, and every other City employee under a collective bargaining contract. The City is not aware of any CBA for public safety employees in Washington state that does not allow for arbitration of major disciplinary decisions. It would have been untenable for the City to take the position that SPD officers, alone, should be denied an appeal option that is guaranteed to other public bargaining units throughout the City and state.

- b) The SPOG CBA removes the burden-of-proof language that this Court held to be problematic and replaces it with a background labor law principle that has long featured in City arbitrations.

Under labor law, the arbitrator generally has authority to set procedural rules and must decide whether to apply the preponderance of the evidence standard or an elevated standard, such as clear and convincing evidence.¹¹ The old SPOG CBA, as well as the previous version, provided that when an officer’s conduct involves dishonesty, termination of employment is presumed to be the appropriate discipline and that the City must prove its case by clear and convincing evidence. As the Court previously noted, *see* Dkt. 357 at 8, that provision singled out dishonesty and treated it differently than all other forms of misconduct. The new SPOG CBA removes the clear-and-convincing standard, but—importantly—preserves the presumption of termination for dishonesty.

¹¹ See, e.g., *Gen. Drivers, Helpers & Truck Terminal Employees Local No. 120 v. Sears, Roebuck & Co.*, 535 F.2d 1072, 1076 (8th Cir. 1976) (“Because collective bargaining agreements are generally silent on procedural matters such as rules of evidence, an arbitration panel must be vested with the inherent authority to make procedural rulings.”).

1 In place of the clear-and-convincing standard, the City and Guild agreed that the burden of proof
 2 in a disciplinary appeal will be determined using the same evidentiary standards that arbitrators
 3 apply to other types of misconduct.

4 The CBA provides that:

5 The standard of review and burden of proof in labor arbitration will be
 6 consistent with established principles of labor arbitration. For example, and
 7 without limitation on other examples or applications, the parties agree that
 8 these principles include an elevated standard of review (i.e. – more than
 preponderance of the evidence) for termination cases where the alleged
 offense is stigmatizing to a law enforcement officer, making it difficult for
 the employee to get other law enforcement employment.

9 Section 3.1. The labor negotiators drew the example of termination for stigmatizing offenses
 10 from an authoritative arbitration treatise.

11 Concerning the quantum of required proof, many, if not most arbitrators
 12 apply the “preponderance of the evidence” standard to ordinary discipline
 13 and discharge cases. However, *in cases involving criminal conduct or*
stigmatizing behavior, many arbitrators apply a higher burden of proof,
 14 *typically a “clear and convincing evidence” standard,* with some arbitrators
 imposing the “beyond a reasonable doubt” standard.

15 Elkouri & Elkouri, *How Arbitration Works*, 15-27 (8th ed. 2016) (emphasis added).

16 Contrary to the CPC’s suggestions, Article 3.1 does not substantially change the status quo,
 17 because arbitrators already generally apply an elevated standard of proof for offenses that are
 18 “stigmatizing” and have done so for a long time. For example, an arbitrator in a 2004 case
 19 addressed allegations of cheating on the sergeant’s exam, conduct that was both dishonest and
 20 criminal, and—citing *Elkouri*—applied a clear-and-convincing standard.

21 The definition of “stigmatizing” offenses includes only a subset of termination cases.
 22 Examples of conduct recognized as stigmatizing include: any offense that is a criminal felony,
 23 demonstrations of racial bias, and dishonesty. In the past, in City arbitrations, absenteeism,

1 insubordination, and violation of workplace policies all have been treated as warranting a
2 preponderance-of-the-evidence standard, and that should not change under the new CBA.

3 c) There is no reason to believe the Public Safety Civil Service
4 Commission will rule for the City more often than arbitrators.

5 The Ordinance eliminated arbitration as an option for SPD employees, leaving the PSCSC
6 as the only avenue for disciplinary appeals. *See* 3.29.420(A)(7)(c). In bargaining, the City retained
7 the PSCSC as one of two appeal options for major disciplinary offenses. The PSCSC is composed
8 of three commissioners, one member each is appointed by the Mayor and the City Council. Seattle
9 Municipal Code § 4.08.040. The third member is elected by the police and firefighter unions. *Id.*
10 Although the Ordinance calls for replacement of the employee-elected member with a second
11 mayoral appointee, (*see* § 4.08.040), the City and SPOG have agreed to postpone implementation
12 of this change until it can be bargained with all affected unions.

13 Regardless of its composition, the PSCSC will remain a neutral body that is not inherently
14 pro-management or pro-police reform. Seattle police officers can be removed, suspended, or
15 demoted only “in good faith for cause.” RCW 41.12.090. The PSCSC applies that standard in
16 reviewing disciplinary decisions under SMC 4.08. *See* Seattle Municipal Code § 4.08.100. The
17 Commission is entitled to use standards similar, if not identical to, those used in labor arbitration.
18 *See City of Seattle v. PSCSC*, 155 Wn. App. 878 (Div. 1 2010).

19 vi. *Consistent with its obligation to bargain, the City made calculated*
20 *compromises to achieve gains in accountability; if any of those*
compromises unexpectedly turn out to hinder accountability, they will be
high priority goals in the next round of negotiations.

21 The provisions of the CBA described above constitute major advances in the areas of
22 discipline and accountability. Due to its obligation to bargain in good faith, however, the City was
23 unable to simply force the unions to adopt the Accountability Ordinance in its entirety. In order to

1 achieve gains in accountability, the City made compromises that can be revisited in future
2 negotiations. One example is the statute of limitations for disciplinary action. The old CBA
3 established a three-year limitation period, and the Ordinance provided a five-year period. *Compare*
4 2010-2014 SPOG CBA Art. 3.6.G, with Ordinance § 3.29.420(A)(5). The City and the SPOG
5 compromised on four years. SPOG CBA Art. 3.6.G.

6 The issue of bringing civilians into OPA also illustrates the give-and-take of the
7 negotiations. The Ordinance gives the OPA Director discretion to set the mix of sworn and civilian
8 investigators at OPA. Ordinance § 3.29.140(C). The SPMA contract allowed the City to
9 civilianize all three of the SPMA supervisory positions in OPA. The SPOG CBA provides that two
10 investigator positions will be civilianized. SPOG CBA App. D. While the City compromised on
11 this issue, it did so with the recognition that the transition to hire and train three new civilian
12 supervisors, as well as civilian investigators, will take time, especially in light of the consistently
13 heavy workload at OPA. While the City pushed for at least three civilian investigators, the City
14 ultimately agreed on two in exchange for SPOG allowing the City to also civilianize the sworn
15 sergeant who currently holds a human resources position at SPD. *See* SPOG CBA App. G. The
16 Department saw significant value in filling that position with someone who has human resources
17 expertise and training and freeing up the sergeant position for police functions.

18 Changes to the 180-day time limit for OPA investigations included both gains (described
19 above) and areas of compromise. For example, the Ordinance provides that the 180-day time
20 period for investigation begins when a matter is received or initiated by OPA. During bargaining,
21 SPOG expressed concern that since some matters are not significant enough to be handled by OPA,
22 and are instead submitted to the SPD Chain of Command for review, there would be no time limit
23 for such investigations under the Ordinance formulation. Recognizing the concern of SPOG, the

1 City agreed to add language that specified a trigger for when the 180-day clock would start for
 2 matters that are not investigated by OPA. In doing so, however, the City insisted on changing the
 3 existing language in the SPOG CBA that provided the clock would start when any sworn
 4 supervisor (including SPOG sergeants and SPMA lieutenants and captains) was “in receipt of” a
 5 complaint. SPMA Art. 1.6.B; SPOG CBA Art. 3.6.B. As explained above, that formulation had
 6 created problems for the City in situations when the sworn supervisor took no action. The new
 7 SPOG agreement thus adds a provision that meets the Guild interest of ensuring there is a time
 8 limit on chain of command investigations, and also meets the City interest of not starting the clock
 9 until a supervisor takes affirmative documented action to handle the matter.

10 In short, the bargain reached between the City and the Guild significantly improves
 11 accountability as part of a reform process that will go beyond the sustainment period.

12 *vii. Neither the CBAs nor the Ordinance as modified by the CBAs are*
 13 *inconsistent with the Consent Decree.*

14 Nothing in the CBAs or in the Ordinance as modified by the CBAs contradicts the terms
 15 of the Consent Decree. The Consent Decree contains requirements regarding Use of Force; Use of
 16 Force Reporting, Investigation, and Review; Crisis Intervention; Stops and Detentions;
 17 Supervision; and certain limited changes to OPA. *See* Consent Decree at 12-48. These
 18 requirements are not altered or affected in any way by the CBAs. Rather, the CBAs complement
 19 the progress made under the Consent Decree by bringing about concrete, meaningful progress in
 20 the areas of discipline and accountability as described above.

21 **C. The Provisions Highlighted in City Council’s Companion Resolution Do Not**
 22 **Present a Conflict With the Consent Decree.**

23 When City Council approved the SPOG CBA on November 13, 2018, by an eight-to-one
 vote, it also passed companion Resolution Number 31855, which seeks this Court’s review of the

1 agreement, including a request that the City Attorney ask for the Court to review specific provisions.
2 It “requests that the City Attorney’s Office jointly file this resolution, including Attachments 1-3,
3 and the SPOG CBA with the Court to request a judicial review of the labor contract.”

4 The resolution also requested that the Court review these specific provisions of the CBA:
5 Article 3.1 (burden of proof in arbitrations for disciplinary appeals), Article 3.6.B-D (180-day timeline
6 for OPA investigations); and Appendix E.12 (limits placed on the subpoena powers of OPA and OIG).
7 As described above, these provisions were the product of compromises made at the bargaining table
8 and the City does not dispute they resulted in changes to the Ordinance. However, each of these
9 provisions in the SPOG CBA represents a concrete and meaningful accountability reform with respect
10 to the status quo. They do not conflict with any of the terms of the Consent Decree; to the contrary,
11 these provisions represent progress for the City. The City intends to continue negotiations with SPOG
12 and SPMA regarding future contracts, seeking to further implement the goals of the Ordinance.

13 V. CONCLUSION

14 A fair and effective accountability system is the prerequisite to meaningful police reform
15 lasting long after the Consent Decree concludes. The accountability gains that can be implemented
16 because of the new CBAs between the City and the police officer unions will not only ensure that
17 the City does not roll back any of the important progress it has made, but will also continue to
18 advance the cause of police accountability. For the foregoing reasons, the City asks that the Court
19 approve the Accountability Ordinance as modified by the SPOG and SPMA CBAs and hold that
20 the City remains in full and effective compliance with the Consent Decree.

1 DATED this 17th day of December, 2018.

2 For the CITY OF SEATTLE

3
4 PETER S. HOLMES
Seattle City Attorney

5 s/ Kerala T. Cowart
6 Kerala T. Cowart, WSBA #53649
7 Assistant City Attorney
Seattle City Attorney's Office
8 701 Fifth Avenue, Suite 2050
Phone: (206) 733-9001
9 Fax: (206) 684-8284
Email: kerala.cowart@seattle.gov

CERTIFICATE OF SERVICE

I hereby certify that on December 17, 2018, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system, which sends notification of such filing to the following counsel of record:

Annette L Hayes	Annette.Hayes@usdoj.gov
Christina Fogg	Christina.Fogg@usdoj.gov
Gregory Colin Narver	gregory.narver@seattle.gov
Kerry Jane Keefe	kerry.keefe@usdoj.gov
Peter Samuel Holmes	peter.holmes@seattle.gov
Jeff Murray	jeff.murray@usdoj.gov
Rebecca Boatright	rebecca.boatright@seattle.gov
Ronald R. Ward	Ron@wardsmithlaw.com
Timothy D. Mygatt	timothy.mygatt@usdoj.gov
Michael K. Ryan	michael.ryan@seattle.gov
Carlton Seu	carlton.seu@seattle.gov
Gary T. Smith	gary.smith@seattle.gov
Hillary H. McClure	hillarym@vjmlaw.com
Kristina M. Detwiler	kdetwiler@unionattorneysnw.com

DATED this 17th day of December, 2018, at Seattle, King County, Washington.

s/ Kerala T. Cowart
Kerala T. Cowart, WSBA #53649
Assistant City Attorney
E-mail: kerala.cowart@seattle.gov